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May 5, 2006

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Office of Initial Patent Examination  
Filing Receipt Corrections  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Chang, Tenny; et. al.  
Assignee: Cardica, Inc.  
Title: System for Preparing a Vessel for Anastomosis  
Serial No.: 10/607,524  
Examiner: Not yet assigned  
Docket No.: 133

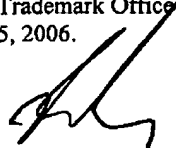
Filed: June 26, 2003  
Group Art Unit: 3721

Transmitted herewith are the following documents in the above-identified application:

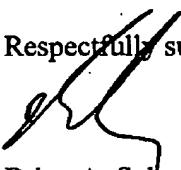
- (1) This Transmittal Letter;
- (2) Request for Corrected Filing Receipt, including copy of Official Filing Receipt of U.S. Patent Application Serial No. 10/151,441; and
- (3) Copy of Official Filing Receipt mailed September 17, 2003, with corrections indicated thereon.

Please charge Deposit Account 502108 for any fees required, and credit deposit account 502108 any amounts overpaid.

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. (571) 273-8300) on May 5, 2006.

  
\_\_\_\_\_  
Attorney for Cardica, Inc.

Respectfully submitted,

  
Brian A. Schar  
Reg. No. 45,076  
Cardica, Inc.  
900 Saginaw Drive  
Redwood City, CA 94063  
(650) 331-7162

May 5, 2006

Docket No.: 133  
**RECEIVED**  
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Re: Applicant(s): Chang, Tenny; et. al.  
Assignee: Cardica, Inc.  
Title: System for Preparing a Vessel for Anastomosis  
Serial No.: 10/607,524  
Examiner: Not yet assigned Filed: June 26, 2003  
Docket No.: 133 Group Art Unit: 3721

**REQUEST FOR CORRECTED FILING RECEIPT**

Dear Sir:


Enclosed is a copy of the Official Filing Receipt marked to show that correction is needed. The section entitled "Domestic Priority data as claimed by applicant" should read:

This application is a CIP of 10/392,336 03/19/2003  
which is a CIP of 10/151,441 05/20/2002  
**--which is a CIP of 09/363,255 07/28/1999 PAT 6,391,038--**  
This application 10/607,524  
claims benefit of 60/399,880 07/31/2002

In support of this change, the filing receipt of U.S. Patent Application Serial No. 10/151,441 is enclosed, showing that patent application is a CIP of 6,391,038.

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

  
Brian A. Schar  
Reg. No. 45,076  
Director of Intellectual Property  
Cardica, Inc.  
(650) 331-7162



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/607,524	06/26/2003	3721	714	133	66	53	4

33109  
CARDICA, INC.  
900 SAGINAW DRIVE  
REDWOOD CITY, CA 94063

CONFIRMATION NO. 4831

## FILING RECEIPT

\*OC000000010889739\*

Date Mailed: 09/17/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Tenny Chang, Mountain View, CA;  
Philippe R. Manoux, San Francisco, CA;  
David L. Bombard, San Francisco, CA;  
James T. Nielsen, San Francisco, CA;  
Bryan D. Knodel, Flagstaff, AZ;

## Domestic Priority data as claimed by applicant

This application is a CIP of 10/392,336 03/19/2003  
which is a CIP of 10/151,441 05/20/2002  
This application 10/607,524  
claims benefit of 60/399,880 07/31/2002

which is a CIP of  
09/363,255 07/29/1999 PAT 6,391,038

## Foreign Applications

If Required, Foreign Filing License Granted: 09/12/2003

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title**

System for preparing a vessel for anastomosis

**Preliminary Class**

606

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**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

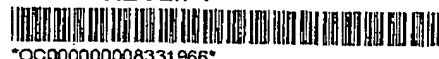
COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/151,441	05/20/2002	3731	1081	108	26	85	6

Brian Schar  
Chief Patent Counsel  
Cardica, Inc.  
171 Jefferson Drive  
Menlo Park, CA 94025

CONFIRMATION NO. 4791

## FILING RECEIPT



\*OC000000008331966\*

Date Mailed: 06/21/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

David L. Bombard, San Francisco, CA;  
Bryan D. Knodel, Flagstaff, AZ;  
Jaime S. Vargas, Menlo Park, CA;  
Michael J. Hendricksen, Redwood City, CA;  
Stephen A. Yencho, Menlo Park, CA;  
James T. Nielsen, San Francisco, CA;  
Bernard A. Hausen, Menlo Park, CA;  
Brendan M. Donohoe, San Francisco, CA;  
Theodore M. Bender, San Francisco, CA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/363,255 07/28/1999 PAT 6,391,038

## Foreign Applications

If Required, Foreign Filing License Granted 06/21/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

Title

System for performing anastomosis

Preliminary Class

606

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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